

IDB reporting on the Guatemala's Readiness Package

Participants Committee (PC25) Meeting
March 26-28, 2018



3/20/2018

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Overall progress Summary

- Guatemala has submitted a high-quality Readiness Package (R-Package) with reports and studies on readiness preparation for REDD+
- Process is well aligned to the FCPF's methodological guidelines, as well to the national policy framework including:
 - National Determined Contribution (NDC),
 - Government Policy and National Development Plan K'atun 2032,
 - Climate Change Law, Forestry Law, Protected Areas Law, etc.
- The 3 REDD+ phases are being aligned:
 - Strategy is the base for REDD+ implementation: public, private and Forest Investment Program -**FIP**- funding
 - Key access to results-based payment (LOI signed with World Bank for accessing **Carbon Fund**)
 - NAMA proposal for the efficient use of firewood complements through clean cookstoves technology (**NAMA Facility**)

- Independent process conducted by an external actor and according to R-Package guidelines (no government intervention)
- Stakeholders self selection (based on the dialogue experience) and high representativeness of the sectors
- MTR and R-package self-assessment results: different groups with own perceptions of progress (GoG - 2016 and non government stakeholders - 2018)
- Differences enrich and strengthen the process: joint identification of areas for improvement with additional FCPF funds (signed on October 2017 and executed by IDB)
- Reflects progress but there is more demand for information at a local level as a result of the dialogue process (more than 240 local groups involved)

Overall progress **Progress achieved**

- **Comp. 1:** dialogue process closely follows UNDREDD/FCPF guidelines
 - Reinforced the socialization process started in 2013
 - Dialogue methodology and 5 territorial plans developed with stakeholders
 - Stakeholders self-selection and high diversity
 - Communicational products in 5 Mayan Languages and Spanish
 - More than 240 local governance platforms involved
- **Comp. 2:** Drivers, barriers and REDD+ activities dialogued with stakeholders
 - SESA and ESMF developed
 - Gender Roadmap validated
 - Policy assessment carried on
- **Comp. 3:** REL developed for three REDD+ modalities
- **Comp. 4:** SIREDD+ developed for GHG emissions, safeguards and multiple benefits

Overall progress **Common Approach**

- Guatemala's SESA and ESMF meets IDB's social and environmental safeguards and policies according to the FCPF Common Approach
 - IDB and WB's OP to be activated have been identified for: Environmental assessment, natural habits, forests, involuntary resettlement and indigenous peoples
- SESA, ESMF and FGRM developed under a wide participatory process (611 stakeholders, 40% women, 12 regional and national workshops)
- FGRM (named MIAQ) developed under FCPF's specific guidelines and its components were assessed and agreed with stakeholders:
 - Will not replace judicial power or other forms of legal and/or traditional action existing in the country, but to complement them
- Disclosure of information hosted in the REDD+ sub portal within the Ministry of Environment and National Resources (MARN) webpage and socialized through different workshops

Overall progress

Financial reporting

| First Grant \$ 3.8 million | Additional Funds \$ 5 million |
|--------------------------------------|---|
| Disbursed: \$3,073,621.77 | Grant signed on October 2017 |
| Executed: \$ \$3,015,908.51 | IDB directly execution as requested by the GoG |
| Commitment for 2018: \$756,374.41 | Will focus on areas for improvement identified in self-assessment (IMT and R-Package) |

Areas of improvement prioritized:

- Continue and reinforce stakeholders dialogue and participation at local level
- Strengthening MRV system (including community monitoring)
- Benefit sharing mechanism and REDD+ register design
- Strengthening mainstreaming gender considerations
- REDD+ strategy aligned to support forestry and agroforestry policy instruments (e.g. PROBOSQUE Law)
- Strategic institutions outside forestry sector supporting the REDD+ strategy (Ministry of Public Finance and Secretariat for Public Planning



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